

12-12-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ACT-173 (1117-11)
First Inventor	Mindaugas F. Dautartas
Title	Optical Device Package For Flip-Chip Mounting
Express Mail Label No.	EL 071 688 251 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 29]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 7 ]
5. Oath or Declaration [ Total Pages ]
- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of  
*(when there is an assignee)*  Attorney
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
*Citations*
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

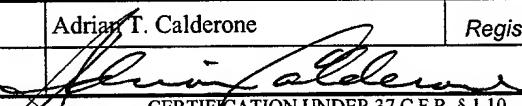
 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

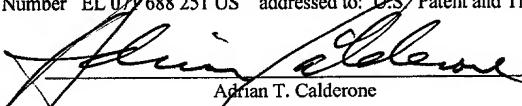
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label <i>(Insert Customer No. or Attach bar code label here.)</i>	or <input type="checkbox"/> Correspondence address below			
Name	Adrian T. Calderone			
Address	DILWORTH & BARRESE, LLP			
	333 Earle Ovington Blvd.			
City	Uniondale	State	New York	Zip Code
Country	U.S.A	Telephone	(516) 228-8484	Fax
Name (Print/Type)	Adrian T. Calderone		Registration No. (Attorney/Agent)	31,746
Signature			Date	12/10/01

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL 071 688 251 US addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Dated: December 10, 2001



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 776.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Mindaugas F. Dautartas
Examiner Name	
Group Art Unit	
Attorney Docket No.	ACT-173 (1117-11)

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	04-1121
Deposit Account Name	DILWORTH & BARRESE, LLP

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)		
101	740	201	370	Utility filing fee	\$740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$ 740.00)</b>			

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** = 2	x \$18	= \$36
Independent Claims	3 - 3** = 0	x \$84	= 0
Multiple Dependent		\$280	= 0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$ 36.00)</b>		

## 3. ADDITIONAL FEES

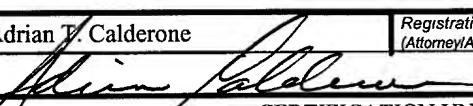
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 Surcharge - late filing fee or oath	65
127	50	227 Surcharge - late provisional filing fee or cover sheet	25
139	130	139 Non-English specification	130
147	2,520	147 For filing a request for ex parte reexamination	2,520
112	920*	112 Requesting publication of SIR prior to Examiner action	920*
113	1,840*	113 Requesting publication of SIR after Examiner action	1,840*
115	110	215 Extension for reply within first month	55
116	400	216 Extension for reply within second month	200
117	920	217 Extension for reply within third month	460
118	1,440	218 Extension for reply within fourth month	720
128	1,960	228 Extension for reply within fifth month	980
119	320	219 Notice of Appeal	160
120	320	220 Filing a brief in support of an appeal	160
121	280	221 Request for oral hearing	140
138	1,510	138 Petition to institute a public use proceeding	1,510
140	110	240 Petition to revive - unavoidable	55
141	1,280	241 Petition to revive - unintentional	640
142	1,280	242 Utility issue fee (or reissue)	640
143	460	243 Design issue fee	230
144	620	244 Plant issue fee	310
122	130	122 Petitions to the Commissioner	130
123	50	123 Processing fee under 37 CFR 1.17(q)	50
126	180	126 Submission of Information Disclosure Stmt	180
581	40	581 Recording each patent assignment per property (times number of properties)	40
146	740	246 Filing a submission after final rejection (37 CFR § 1.129(a))	370
149	740	249 For each additional invention to be examined (37 CFR § 1.129(b))	370
179	740	279 Request for Continued Examination (RCE)	370
169	900	169 Request for expedited examination of a design application	900
Other fee (specify) _____		Terminal Disclaimer	

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

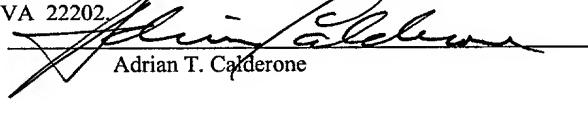
Complete if applicable

Name (Print/Type)	Adrian T. Calderone	Registration No. (Attorney/Agent)	31,746	Telephone	(516) 228-8484
Signature				Date	12/10/01

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